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**PATENT** 

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Kevin G. Rooney, Reg. Np. 36,330

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Date

Applicants:

Lawrence M. Lubbers et al.

Serial No.:

10/816,725 April 2, 2004

Filed:
Art Unit:

3731

Examiner:

Unknown

Confirmation No.:

1018

Title:

APPARATUS AND METHODS FOR TENDON OR

LIGAMENT REPAIR

Atty Docket No.:

TTL-04US

Cincinnati, Ohio 45202

July 8, 2004

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of candor and good faith imposed by

37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R.

§§1.97 and 1.98, the references listed on the attached Form PTO-1449 are

called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application.

Subject to the Office's waiver of providing copies of U.S. patents and published applications for applications filed after June 30, 2003, only "Foreign Patents or Published Foreign Patent Applications" and "Other Documents" are provided, since this application was filed on April 2, 2004, and therefore, subsequent to June 30, 2003.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

Applicants believe that this communication is being mailed before a first action on the merits and, therefore, no fee is due. If this is not the case, the Commissioner is authorized to charge deposit account 23-3000 for any required fees.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

Kevin G.

Reg. No. 36 330

2700 Carew Tower 441 Vine Street Cincinnati, OH 45202 (513) 241-2324

SUBSTITUTE FORM PTO	0-1449 U.S. DEPA
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JUL 1 2 2004 80 33	INFORMATION DISCLOSURE STATEMENT BY APPLICANT
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# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. TTL-04US

SERIAL NO. 10/816,725

APPLICANT

Lawrence M. Lubbers et

al.

FILING DATE April 2, 2004 GROUP 3731

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL			PA	TEN	NT N	IUM	BEF	₹	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	R	е	3	6	2	8	9	8/1999	Le et al.	606	232	
	A.B	2	0	7	5	5	0	8	3/1937	Davidson			
	A.C	2	4	2	1	1	9	3	5/1947	Gardner	128	335	
	A.D					0	9	5/1949	Gardner	128	335		
	A.E	2	<del>-                                     </del>				7	0	11/1949	Dzus	606	73	
	A.F	2				8	8/1956	Pierce					
	A.G	3	1	2	3	0	7	7	3/1964	Alcamo	606	228	
	A.H	3	4	8	9	1	4	3	1/1970	Halloran			
	A.I	3	6	6	4	3	4	5	5/1972	Dabbs			
	A.J	3 7 1 6 0 5 8				5	8	2/1973	Tanner, Jr.	128	337		
	A.K	4	4	3	0	9	9	8	2/1984	Harvey et al.	128	335	

### FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
A.L	3227984 A1	2/1984	DE .			
A.M	19840881	3/2000	DE			
A.N	1810800	6/1970	DT			
A.O	0535802	4/1993	EP			
A.P	0092334	10/1983	EP			
A.Q	0478949 A1	4/1992	EP			

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

A.R	L. Gordon et al., Flexor Tendon Repair Using a Stainless Steel Internal Anchor, Article, The Journal of Hand Surgery, Vol. 23B N. 1, February 1998
A.S	L. Gordon et al., <u>Flexor Tendon Repair Using a Stainless Steel External Splint</u> , Article, Journal of Hand Surgery, Vol. 24B, No. 6, December 1999
A.T	Dietmar H. Wittmann, <u>Prosthesis for Abdominal Surgery</u> , U.S. Patent Publication No. 2002/O029063A1, Published on March 7, 2002

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.

Sheet 2 (B) of 10

SUBSTITUTE (MODIFIED)	FORM P	TO-	144	9				U. P.	S. DEPARTM ATENT AND 1	ENT OF COMMERCE TRADEMARK OFFICE	ATTY. TTL-04	DOCKET NO US	).	SERIAI 10/816	
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EXAMINER INITIAL			PA	TEN	NT N	IUM	BEI	₹	ISSUE DATE	PATENTEE		CLASS	SUBC	LASS	FILING DATE IF APPROPRIATE
	B.A	4	5	4	9	5	4	5	10/1985	Levy		606	223		
	B.B	4	5	8	5	4	5	8	4/1986	Kurland		623	13.17		
	B.C	4	5	9	0	9	2	8	5/1986	Hunt et al.					
	B.D	4	5	9	2	3	4	6	6/1986	Jurgutis ·		128	92		
	B.E	4	6	3	7	3	8	0	1/1987	Orejola		128	334		
	B.F	4	6	4	3	1	7	8	2/1987	Nastari et al.			-		
	B.G	4	7	2	3	5	4	8	2/1988	Lalonde		128	335		
	В.Н	4	7	5	0	4	9	2	6/1988	Jacobs		606	230		
	B.I	4	7	9	6	6	1	2	1/1989	Reese					
	B.J	4	8	3	2	0	2	6	5/1989	Jones					
	B.K	4	8	7	0	9	5	7	10/1989	Goble et al.		128	92		
					FC	RE	IGN	PAT	ENTS OR PU	BLISHED FOREIGN PA	TENT A	PPLICATION	s		
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	B.L	216	3456	32				3/1	986	GB					
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Sheet 3 (C) of 10

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	C.A	4	8	7	3	9	7	6	10/1989	Schreiber		128	334		
	С.В	4	9	0	1	7	2	1	2/1990	Hakki		606	103		
	C.C	4	9	4	6	4	6	2	8/1990	Watanabe					
	C.D	4	9	5	5	9	1	0	9/1990	Bolesky 623 13.1			13.13		
<del></del>	C.E	4	9	7	8	3	4	7	12/1990	Hizarov					
***	C.F	4	9	7	9	9	5	6	12/1990	Silvestrini		623	13		
	C.G	4	9	8	8	3	5	1	1/1991	Paulos et al.		606	72	****	
	C.H	5	0	0	6	0	2	3	4/1991	Kaplan		411	17		
	C.I	5	0	4	1	1	2	9	8/1991	Hayhurst et al.	606	232			
	C.J	5	0	5	3	0	4	7	10/1991	Yoon		606	223		
	с.к	5	0	6	1	2	8	3	10/1991	Silvestrini		623	13		
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Sheet 4 (D) of 10

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	D.A	5	0	8	4	0	5	0	1/1992	Draenert		606	77		-
	D.B	5	2	5	8	0	1	5	11/1993	Li et al.					
	D.C	5	2	6	9	2	9	0	12/1993	Barrett et al.		128	4		
	D.D	5	2	6	9	8	0	9	12/1993	Hayhurst et al.					
	D.E	5	3	0	6	2	9	0	4/1994	Martins et al.					
	D.F	5	3	0	9	9	2	7	5/1994	Welch		128	898		
	D.G	5	3	4	2	3	7	6	8/1994	Ruff					
	D.H	5	3	5	4	3	0	5	10/1994	Lewis et al.		606	152		
	D.I	5	3	8	0	3	3	4	1/1995	Torrie et al.		606	104		
	D.J	5	3	8	3	8	ę	7	1/1995	Wholey	y 606 2				
	D.K	5	3	9	5	3	7	4	3/1995	Miller et al.	et al.				
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Sheet 5 (E) of 10 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. **SUBSTITUTE FORM PTO-1449** PATENT AND TRADEMARK OFFICE TTL-04US 10/816,725 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** Lawrence M. Lubbers et STATEMENT BY APPLICANT **FILING DATE GROUP** (Use several sheets if necessary) April 2, 2004 3731 (37 CFR 1.98(b)) **U.S. PATENT DOCUMENTS** FILING DATE **EXAMINER** ISSUE IF APPROPRIATE PATENT NUMBER **PATENTEE CLASS SUBCLASS** DATE INITIAL E.A 5 4 1 5 2 3 5/1995 Goble 606 232 1 5/1995 139 E.B 5 4 7 6 9 9 Klein et al. 606 1 5 4 2 5 7 4 7 6/1995 **Brotz** 606 228 E.C 2 8 3 6/1995 29 516 E.D 5 4 6 4 Bartky 7/1995 433 173 E.E 5 4 3 3 6 0 7 Schmid et al. 606 228 E.F 5 4 6 4 4 2 4 11/1995 O'Donnell, Jr. 606 232 2 12/1995 E.G 5 4 7 2 4 5 Trott 72 1/1996 606 E.H 5 4 8 0 4 0 3 Lee et al. 1/1996 606 232 E.I 5 4 8 6 1 9 7 Le et al. 5 0 0 0 0 3/1996 Feagin et al. E.J 5 0 7 4/1996 606 72 5 5 0 5 3 5 E.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **COUNTRY OR TRANSLATION DOCUMENT PUBLICATION** NUMBER DATE PATENT OFFICE **CLASS SUBCLASS** (YES/NO) E.L E.M E.N E.O E.P E.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

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Sheet 6 (F) of 10

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	F.A	5	5	0	7	7	7	5	4/1996	Ger et al.		606	216			
	F.B	5	5	2	0	6	9	1	5/1996	Branch						
	F.C	5	5	2	0	7	0	0	5/1996	Beyar et al.		606	139			
	F.D	5	5	2	7	3	4	2	6/1996	Pietrzak et al.	ietrzak et al. 606 232					
	F.E	5	5	3	1	7	9	0	7/1996	Frechet et al.	chet et al. 623 15					
	F.F	5	5	3	1	2	3	2	7/1996	Hill	128 898					
	F.G	5	5	3	1	7	6	1	7/1996	Yoon	606 223					
	F.H	5	5	5	6	4	2	8	9/1996	Shah	623 13					
	F.I	5	5	6	2	6	8	9	10/1996	Green et al.	een et al. 606 151			1		
	F.J	5	5	8	2	6	1	6	12/1996	Bolduc et al.		606	143			
	F.K	5	5	8	4	8	5	9	12/1996	Brotz		606	606 228			
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Sheet 7 (G) of 10

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	G.A	5	5	9	3	4	2	5	1/1997	Bonutti et al.		606	232		
	G.B	5	6	0	1	5	5	7	2/1997	Hayhurst		606	72		
	G.C	5	6	4	3	2	8	9	7/1997	Sauer et al.		606	139		
	G.D	5	6	4	3	3	2	0	7/1997	Lower et al.		606	232		
	G.E	5	6	6	2	7	1	4	9/1997	Charvin et al.	623 15				
	G.F	5	6	6	9	9	1	7	9/1997	Sauer et al.	606 139				
******	G.G	5	6	8	1	3	5	2	10/1997	Clancy, III et al.	606 232				
-	G.H	5	6	9	0	6	3	2	11/1997	Schwartz et al.		606	73	<u> </u>	
	G.I	5	7	0	7	3	9	4	1/1998	Miller et al.	606 _232				
	G.J	5	7	0	7	3	9	5	1/1998	U		606	232		
	G.K	5	7	2	0	7	6	5	2/1998	Thal					
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Sheet 8 (H) of 10

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	H.A	5	7	2	3	0	0	8	3/1998	Gordon		623	13			
	н.в	5	7	2	3	0	0	9	3/1998	Frechet et al.		623	15			
·	H.C	5	7	2	8	1	3	5	3/1988	Bregan et al.		606	228			
	H.D	5	7	2	8	1	3	6	3/1998	Thal		606	232			
	H.E	5	7	5	5	0	6	5	5/1998	Sorkin	52 223.1					
	H.F	5	7	7	2	6	6	8	6/1998	Summers et al.		623	1.11			
·	H.G	5	7	8	2	8	4	4	7/1998	Yoon et al.		606	139			
	н.н	5	7	9	7	9	1	3	8/1998	Dambreville et al.		606	72			
<del>v</del>	H.I	5	8	0	0	5	4	4	9/1998	Demopulos et al.	opulos et al. 623 13		13			
	H.J	5	8	1	0	8	5	1	9/1998	Yoon	606 148					
	н.к	5	8	2	4	0	0	8	10/1998	Bolduc et al.	606 43					
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Sheet 9 (I) of 10

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	I.A	5	8	9	1	1	6	8	4/1999	Thal		606	232			
	I.B	5	8	9	7	5	9	1	4/1999	Kobayashi		623	13.11			
	I.C	5	9	1	6	2	2	4	6/1999	Esplin		606	151	1		
· · · · · · · · · · · · · · · · · · ·	I.D	5	9	5	1	5	9	0	9/1999	Goldfarb	Goldfarb 606 23			·		
	I.E	5	9	5	4	7	4	7	9/1999	Clark	Clark 606 216					
	I.F	5	9	5	7	9	5	3	9/1999	DiPolo et al.		606	232			
<del>*</del> ·	I.G	5	9	6	4	7	7	2	10/1999	Bolduc et al.		606	142			
	I.H	5	9	7	2	0	0	1	10/1999	Yoon		606	139			
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	J,J	6	0	7	4	4	ō	9	6/2000	Goldfarb	oldfarb 606 232					
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. . . . Sheet 10 (J) of 10 **SUBSTITUTE FORM PTO-1449** ATTY. DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE TTL-04US 10/816,725 INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Lawrence M. Lubbers et **GROUP FILING DATE** (Use several sheets if necessary) (37 CFR 1.98(b)) April 2, 2004 **U.S. PATENT DOCUMENTS EXAMINER ISSUE** FILING DATE PATENT NUMBER **CLASS SUBCLASS** IF APPROPRIATE INITIAL DATE **PATENTEE** J.A 6 1 0 2 9 4 7 8/2000 Gordon 623 13.11 5 8/2000 13.16 J.B 6 1 0 6 5 6 Demopulos et al. 623 10/2000 J.C 6 1 2 9 7 6 2 Li 623 13.11 J.D 6 1 3 2 4 4 2 10/2000 Ferragamo et al. 606 151 J.E 9 6 6 9 11/2000 Li 606 232 6 1 4 J.F 6 1 6 8 5 9 6 1/2001 Wellisz et al. 606 69 6 2 9 6 6 5 6 10/2001 Bolduc et al. 606 213 J.G 3 0 6 1 5 9 10/2001 Schwartz et al. 606 232 J.H 6 7 5 9/2002 606 J.I 6 4 4 3 5 Jacobs et al. 215 5 5 0 4 8 3 11/2002 Jacobs et al. 606 215 J.J 6 J.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS DOCUMENT **PUBLICATION COUNTRY OR TRANSLATION SUBCLASS** NUMBER DATE PATENT OFFICE **CLASS** (YES/NO) J.L J.M J.N J.O J.P J.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

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